Comparing the Yosemite Project and ONC Roadmaps for Healthcare Information Interoperability

David Booth, PhD

Yosemite Project Steering Committee Rancho BioSciences, LLC Hawaii Resource Group, LLC

These slides: http://dbooth.org/2015/onc/

http://YosemiteProject.org/

Outline

- Yosemite Project roadmap
- ONC roadmap
- Comparison
- Q&A

Imagine a world

Imagine a world

speak the same language
with the same meanings
covering all healthcare.

Healthcare today



Tower of Babel, Abel Grimmer (1570-1619)



REPORT TO THE PRESIDENT REALIZING THE FULL POTENTIAL OF HEALTH INFORMATION TECHNOLOGY TO IMPROVE HEALTHCARE FOR AMERICANS: THE PATH FORWARD

Executive Office of the President President's Council of Advisors on Science and Technology

December 2010



"PCAST has also concluded that to achieve these objectives it is crucial that the Federal Government facilitate the nationwide adoption of a universal exchange language for healthcare information"



2013 Workshop on "RDF as a Universal Healthcare Exchange Language"



- 32 participants
- Ended up creating the Yosemite Manifesto . . .



Yosemite Manifesto

on RDF as a Universal Healthcare Exchange Language

- 1. RDF is the best available candidate for a universal healthcare exchange language.
- 2. Electronic healthcare information should be exchanged in a format that either: (a) is an RDF format directly; or (b) has a standard mapping to RDF.
- 3. Existing standard healthcare vocabularies, data models and exchange languages should be leveraged by defining standard mappings to RDF, and any new standards should have RDF representations.
- 4. Government agencies should mandate or incentivize the use of RDF as a universal healthcare exchange language.
- 5. Exchanged healthcare information should be self-describing, using Linked Data principles, so that each concept URI is de-referenceable to its free and open definition.



Yosemite Manifesto

on RDF as a Universal Healthcare Exchange Language

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- 2. Electronic healthcare information should be exchanged in a format that either: (a) is an RDF format directly: or (h) has a standard manning to P
- 3. Existing s should be le should have
- 4. Governm healthcare d

"1. RDF is the best available candidate for a universal healthcare principles, s exchange language."

Supporters

- 1. David Booth, Ph.D., KnowMED, Inc.
- 2. Charlie Mead, M.D., MSc., Octo Consulting Group
- 3. Tracy Allison Altman, Ph.D., PepperSlice
- 4. Michel Dumontier, Associate Professor of Bioinformatics, Carleton University
- 5. Rafael Richards MD MS, Johns Hopkins School of Medicine
- 6. Stanley M. Huff, MD, CMIO Intermountain Healthcare
- 7. Olivier Curé, PhD, UPEM France
- 8. Emory Fry, MD, Cognitive Medical Systems
- 9. Karl Seiler, CEO and founder NUMO Health, a Modus Operandi, Inc. Business
- 10. Erick Von Schweber, Executive Co-chair SURVEYOR
 - health *Endorses RDF as a universal exchange "framework"
- 11. Tom Munnecke, Independent Consultant
- 12. Thomas J. Kelly, PMP, Cognizant Technology Solutions
- 13. Dean Allemang, PhD, Working Ontologist LLC
- 14. Erich A, Gombocz, CSO, IO Informatics, Inc.
- 15. Blair Myers, Sr. Enterprise Information Architect, STA Group, LLC
- 16. Hans Constandt, CEO ONTOFORCE, Gent (Belgium)
- 17. Dave McComb,, Semantic Arts
- 18. Manuel Wahle, Dipl.-Inform, MS, The University of Texas Health Science Center at Houston
- 19. Michael Erdmann, PhD, DIQA Gmbh (Germany)

- 20. Kerstin Forsberg, Principal Informatics Scientist, AstraZeneca
- 21. Niklas Lindström, senior developer, National Library of Sweden
- 22. Mark Montgomery, Founder & CEO, Kyield
- 23. Karl Reti, CEO, Crosslink Software
- 24. David L. Woolfenden President, eVectis Technologies LLC
- 25. Matthew Vagnoni, MS, CTO KnowMED.com
- 26. Chrisotpher Regan
- 27. Doug Burke, President, Cognitive Medical Systems
- 28. Jerry Scott, Emcee Partners LLC
- 29. Rick Pope, Cognitive Medical Systems
- 30. Charles B. Owen, MD, CMIO, Afoundria
- 31. Conor Dowling, CTO, Caregraf
- 32. James McCusker, Yale University
- 33. Cartik Kothari, PhD, CEO, Perfect Informatics, INDIA
- 34. Carl Mattocks, Founder, Wellness Intelligence Institute 35, Lee Feigenbaum, VP and Founder, Cambridge Semantics
- 36. Jamie Ferguson, VP Health IT Policy, Kaiser Permanente.
- 37. Christian Seppa, Senior Developer, Squishymedia Inc.
- 38. Dr. Matthias Samwald, Medical University of Vienna
- 39. Michael Uschold, PhD, Senior Ontology Consultant, Semantic Arts, USA
- 40. Jon McBride, BACS, MBA, CIO
- 41. Kathrin Dentler, PhD student, VU University Amsterdam & University of Amsterdam 42. Claude Nanjo, MA MPH, Zynx Health Inc
- 43. Murrau Bent, e-researcher
- 44. Pedro Lopes, PhD, University of Aveiro
- 45. Sibi Jacob, Senior Information Analyst, Ramsay Healthcare
- 46. Carlton Northern, Senior Software Engineer, The MITRE Corporation
- 47. Michael Dennu, PhD. ontologu consultant
- 48. Robert Stanley, CEO, IO Informatics
- 49. Renato Iannella, PhD, Semantic Identity 50. Janice Kite MBA, MD, A.I.M. Consulting Ltd, UK
- 51. Jeff Altman, co-Founder, Ugly Research 52. Stephane Fellah, CTO, smartRealm LLC
- 53. Frank van Harmelen, Prof., VII University Amsterdam

- 54. Tim Finin, Professor, University of Maryland, Baltimore County
- 55. François Scharffe, Maître de conférences, Université Montpellier 2 56. Varish Mulwad, PhD candidate, Computer Science, UMBC
- 57. Deborah M Cooper, Principal, Deborah M Cooper Consulting LLC
- 58. Joanne S. Luciano, BS MS PhD, Research Associate Professor, Rensselaer Polytechnia Institute, President, Predictive Medicine, Inc.
- 59. M. Scott Marshall, Ph.D., MAASTRO Clinic, Maastricht, The Netherlands
- 60. Kalina Bontcheva, Ph.D., University of Sheffield
- 61. Alan Ruttenberg, Director of Data Warehouse at Institute for Health Informatics
- 62. Dan Brickley, Google
 63. Krishna Kumar Kookal, MS, KnowMED Incorporated.
- 64. Sergey Krikov MS, University of Utah 65. Shelly Kulesza, Project Manager, KnowMED 66. Safa F. Amini, MD, MS, KnowMED Inc.
- 67. Rou Hoased, healthcare softw
- 68. Mary Dee Harris, Ph.D., independent consultant
- 69. David Corsar, Ph.D. University of Aberdeen, UK
- 70. Christophe Lambert, PhD, Golden Helix Inc.
- 11. Savier Jernández Iglesia, Independent Consultant, Spain 72. Paolo (Eccarose, MS PHD) Harvard Medical School 73. François Belleau, NicRDF architect 74. Michael Riben, MD MD Anderson Cancer Center

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- 86. Alan T. Kaell MD JACF JACK JARF (1992-2009)

- 81. Westing Lagen, McMalter University

 82. Joachim Baran, PhD, Stanford University

 83. Joachim Baran, PhD, Stanford University

 80. Mar Unaqimumiliza, MD, PhD, Agha Hadibcare N, V

 91. Stant Timer, PhDM, MS, Leafpash Informatics

 92. Hung Sun, PhD, Agha Hadibcare

- 29. May Su, m. Ph. Mgh Shalhare
 A. Saldie Zauge A. D. NiGHFF PR PMI Glowy-The Center for Data Analysis
 49. Tydenson Kanasan, MD, Ph. D.
 Saway Ghoo, Oligida Delinari QUELL
 S. Griden Sigla, MD, St. D.
 S. Griden Sigla, MD, St. St. Saway
 Saway Ghoo, Oligida Delinari Sigla, St. Saway
 Saway Ghan, Sigla, St. Saway
 Saway Ghan, Sh. Saway Ghan, Sh. Saway
 Saway Ghan, Sh. Saway Ghan, Sh. Saway
 Saway Ghan, Sh. Saway Ghan, S
- Sébasties Letélit, PétD, Health Entrepreneur & Developer
 Marcello Pat, PhD, Federal University of Minas Gerais Brasil
 Spetalia Dair Refrigers, M. Sc., Philips Research
 K.D. Paol, MD, COO OZ Systems

100+ signatures at

http://YosemiteManifesto.org/

Led to Yosemite Project in 2014

The Yosemite Project

MISSION:

Semantic interoperability
of
all structured healthcare information

STRATEGY:

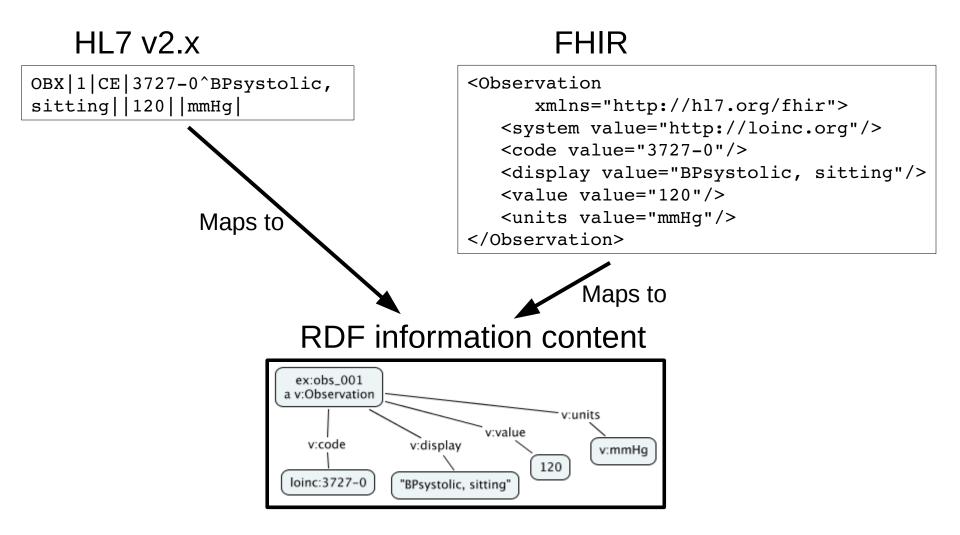
RDF as a universal information representation

What is RDF?

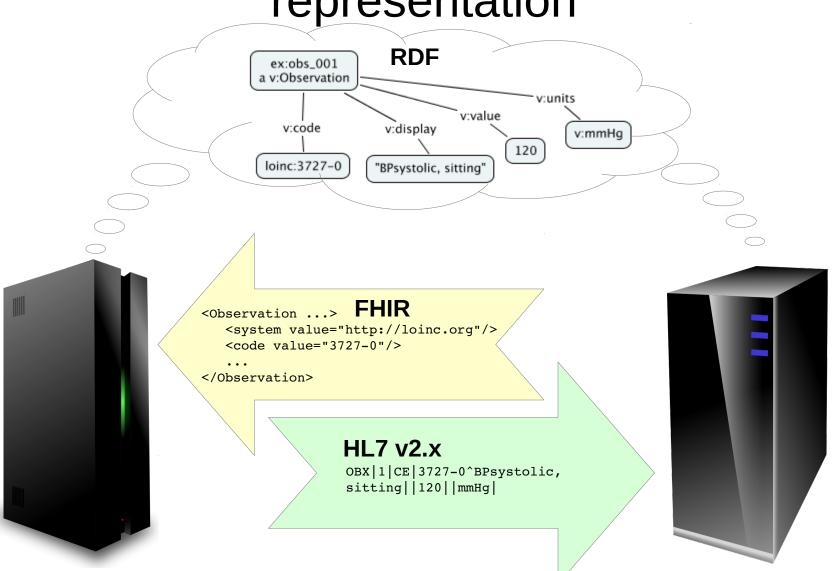
- W3C standard
- Captures information content independent of data format



Different source formats, same RDF



RDF as a universal information representation

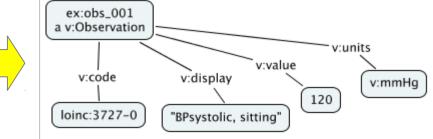


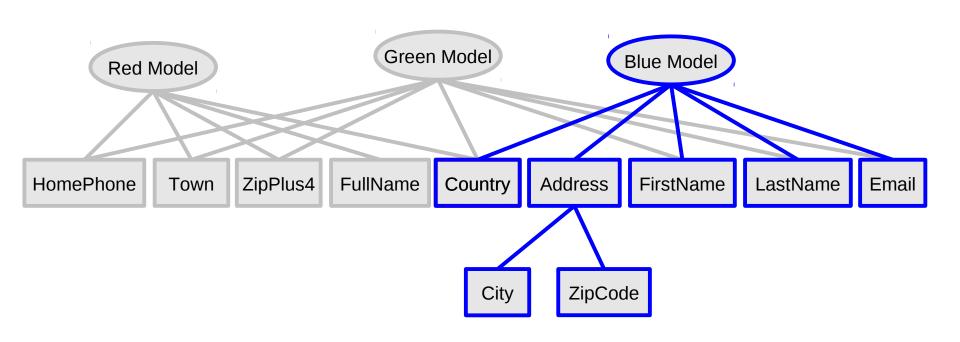
Universal information representation

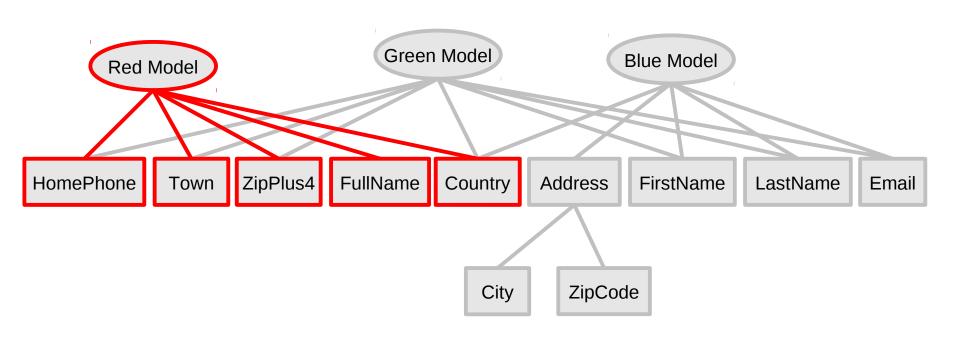
- Q: What does this mean?
- A: Determine its RDF information content

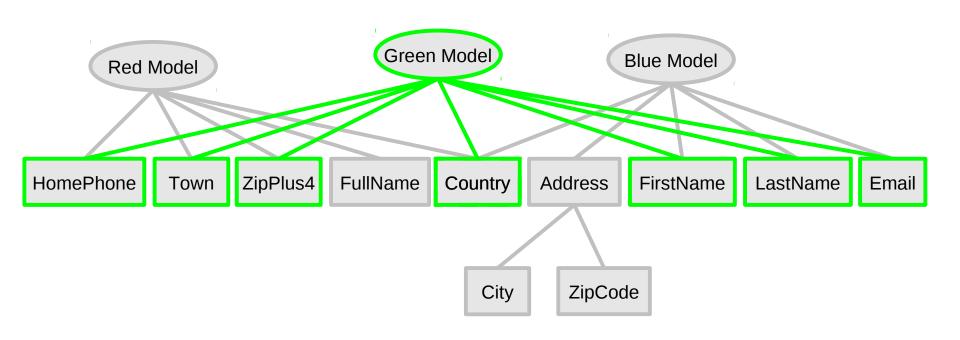
Instance data

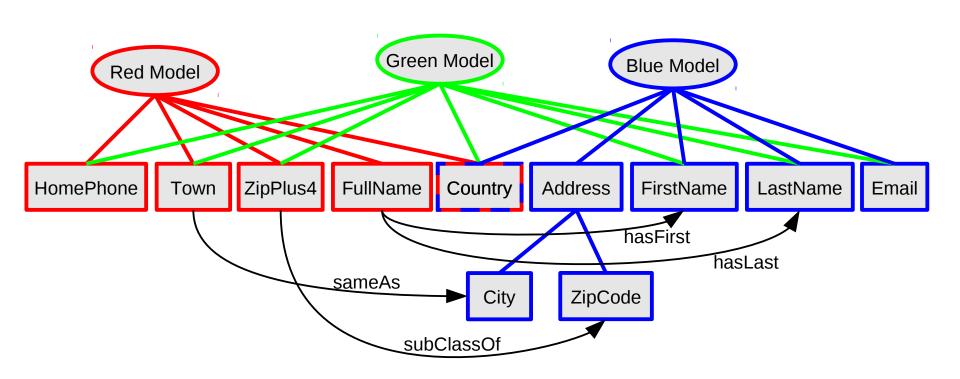
RDF



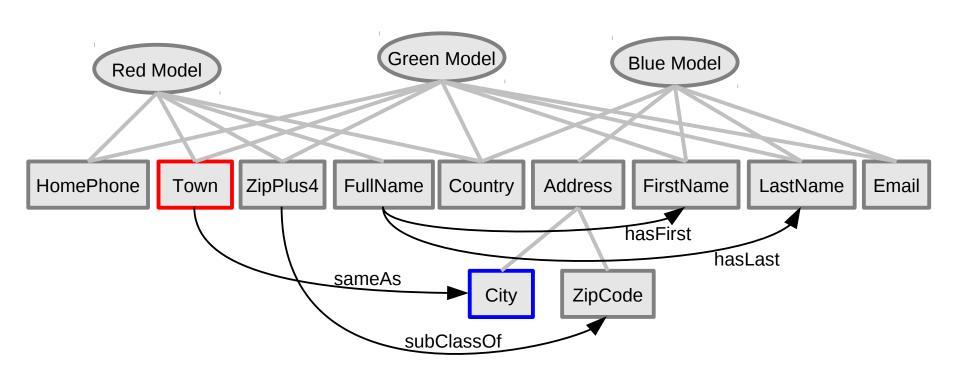




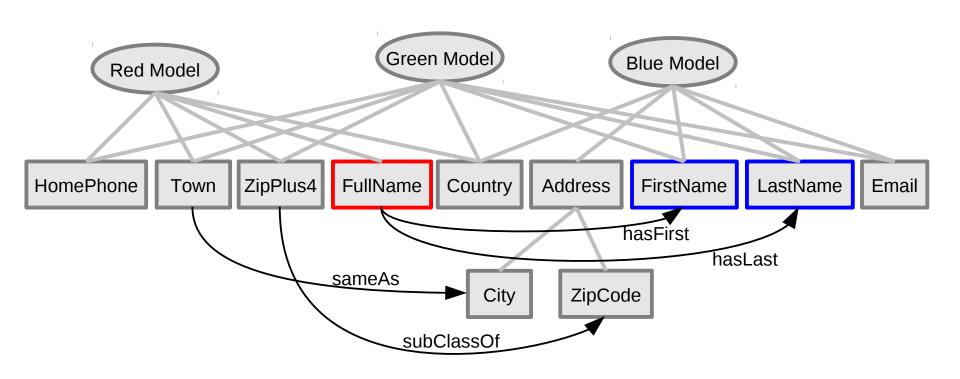




Supports inference



Supports inference



Semantic interoperability:

The ability of computer systems to exchange data with unambiguous, shared meaning.

Wikipedia

Two ways to achieve interoperability

Standards:

- Make everyone speak the same language
- I.e., same data models and vocabularies

Translations:

- Translate between languages
- I.e., translate between data models and vocabularies

Obviously we prefer standards.

But

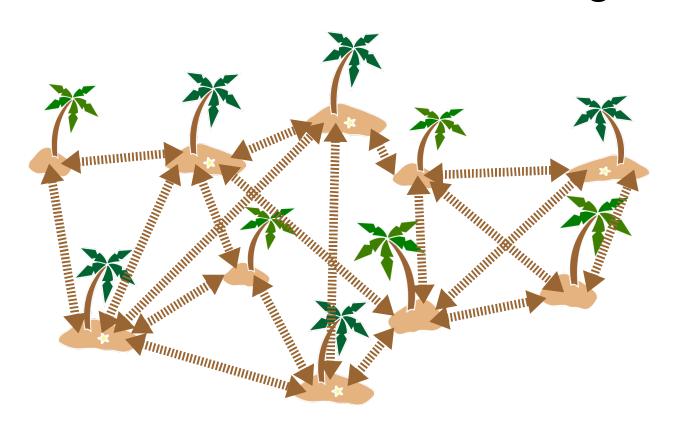
Standard Vocabularies in UMLS

AIR ALT AOD AOT BI CCC CCPSS CCS CDT CHV COSTAR CPM CPT CPTSP CSP CST DDB DMDICD10 DMDUMD DSM3R DSM4 DXP FMA HCDT HCPCS HCPT HL7V2.5 HL7V3.0 HLREL ICD10 ICD10AE ICD10AM ICD10AMAE ICD10CM ICD10DUT ICD10PCS ICD9CM ICF ICF-CY ICPC ICPC2EDUT ICPC2EENG ICPC2ICD10DUT ICPC2ICD10ENG ICPC2P ICPCBAQ ICPCDAN ICPCDUT ICPCFIN A ICECHUN CP ICPCFRE ICPCG AE ROE LCH L E MSHOUT MSHOWS MARKER MSHITA MSHJPN MSHLAV MSHNOR MSHPOL MSHPOR MSHRUS MSHSCR MSHSPA MSHSWE MTH MTHCH MTHHH MTHICD9 MTHICPC2EAE MTHICPC2ICD10AE MTHMST MTHMSTFRE MTHMSTITA NAN NCISEER NIC NOC OMS PCDS PDQ PNDS PPAC PSY QMR RAM RCD RCDAF RCDSA RCDSY SNM SNML SOP SPN SRC TKMT ULT UMD USPMG UWDA WHO WHOFRE WHOGER WHOPOR WHOSPA

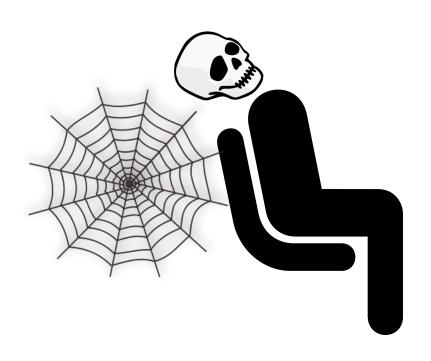
Each standard is an island



RDF enables semantic bridges



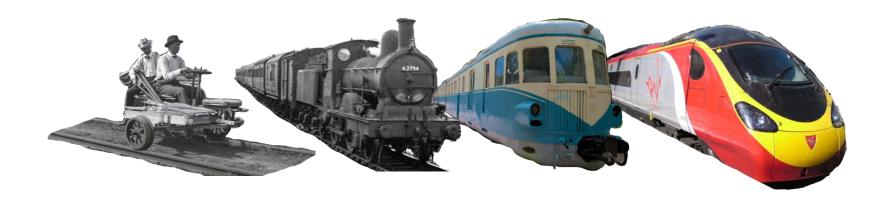
Standardization takes time







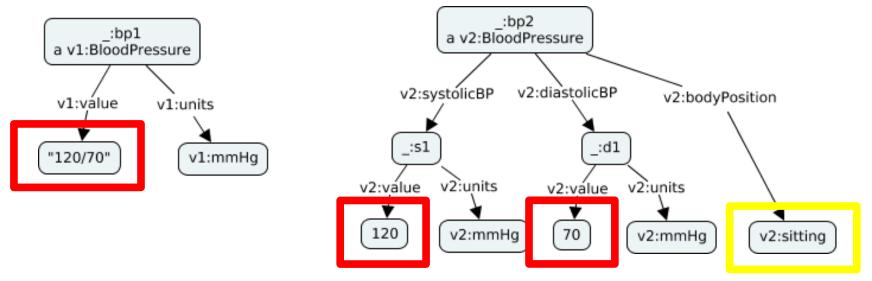
Modernization takes time



Existing systems cannot be updated all at once

Diverse use cases

 Different use cases need different data, granularity and representations



One standard does **not** fit all!

Cannot fit all use cases into one data model or vocabulary!



HOW STANDARDS PROLIFERATE: (SEE: A/C CHARGERS, CHARACTER ENCODINGS, INSTANT MESSAGING, ETC.)

SITUATION: THERE ARE 14 COMPETING STANDARDS.



3005 SITUATION: THERE ARE 15 COMPETING STANDARDS.

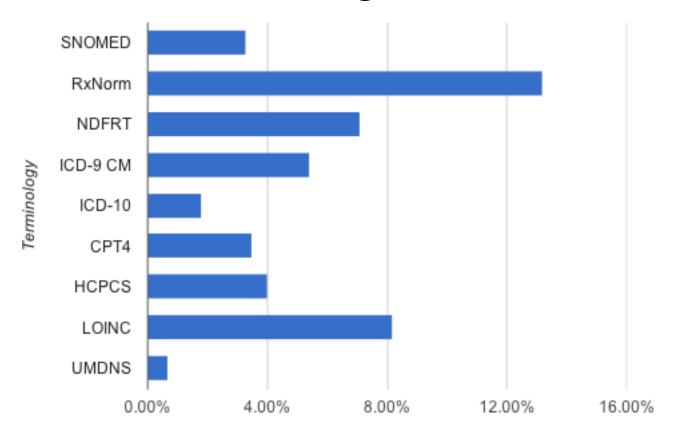
http://xkcd.com/927/ Used by permission

Standards evolve

Version n+1 improves on version n



Healthcare terminologies rate of change



Rate of change / year

Slide credit: Rafael Richards (VA)

Translation is unavoidable!

A realistic strategy for semantic interoperability must address both standards <u>and</u> translations.

Yosemite Project Roadmap

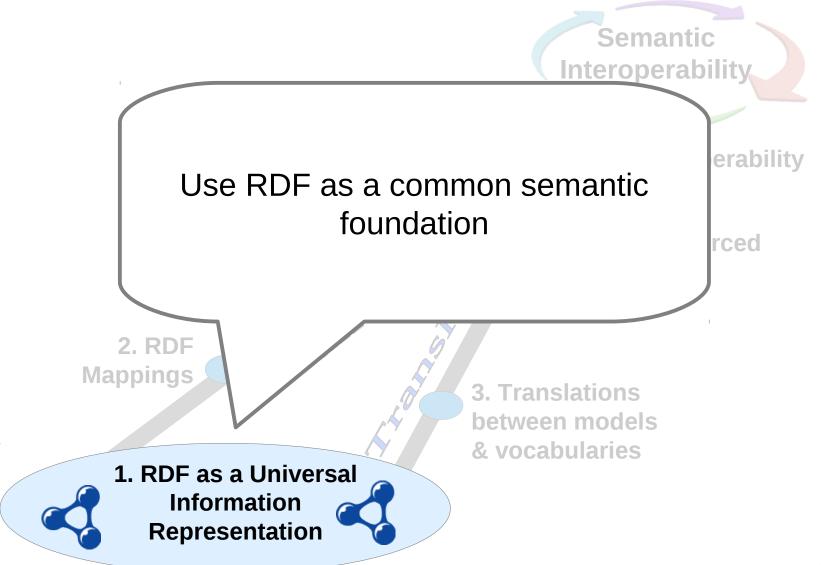
Semantic **Interoperability** 7. Interoperability **Policies** 4. Crowd-Sourced **Translation** Rules 3. Translations between models & vocabularies

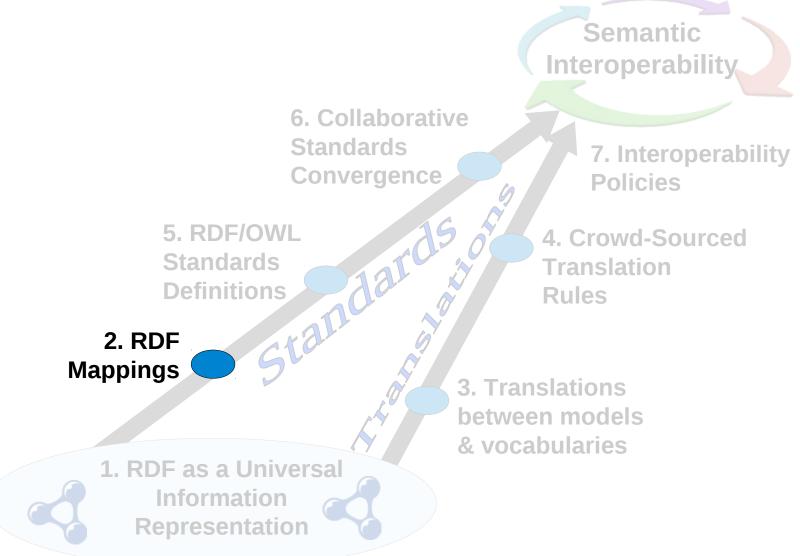
6. Collaborative Standards Convergence

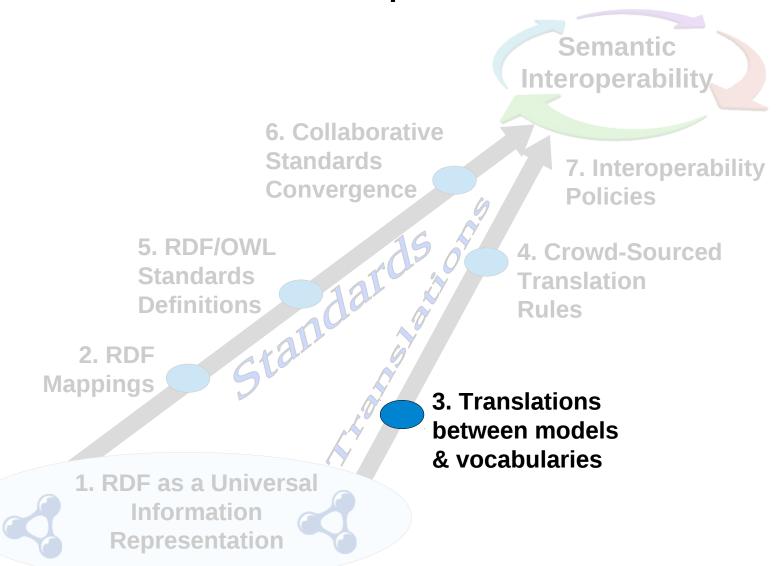
5. RDF/OWL Standards Definitions

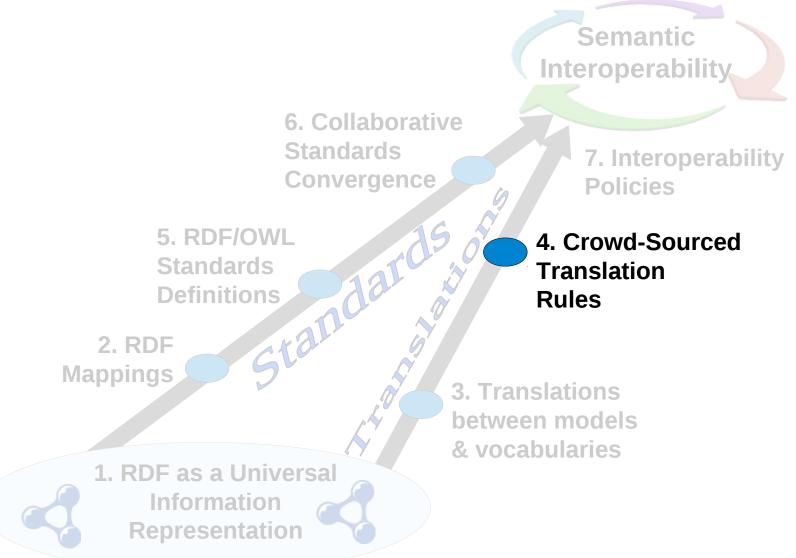
2. RDF Mappings

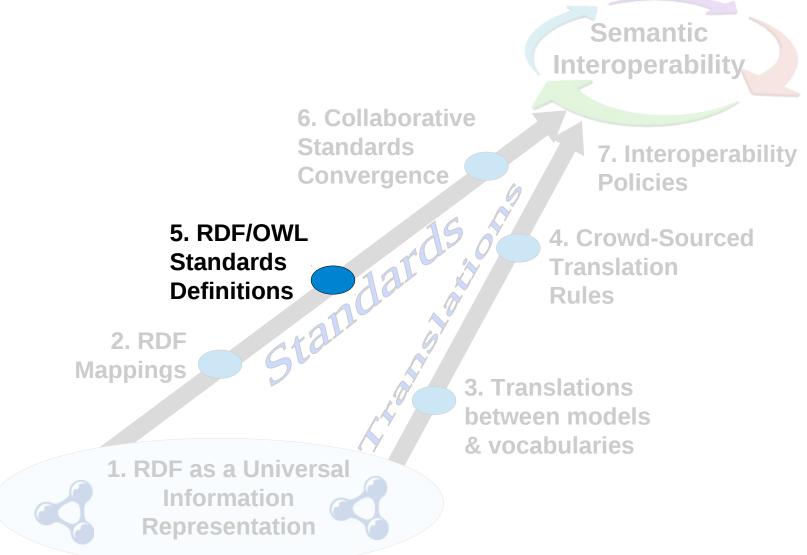
1. RDF as a Universal Information Representation

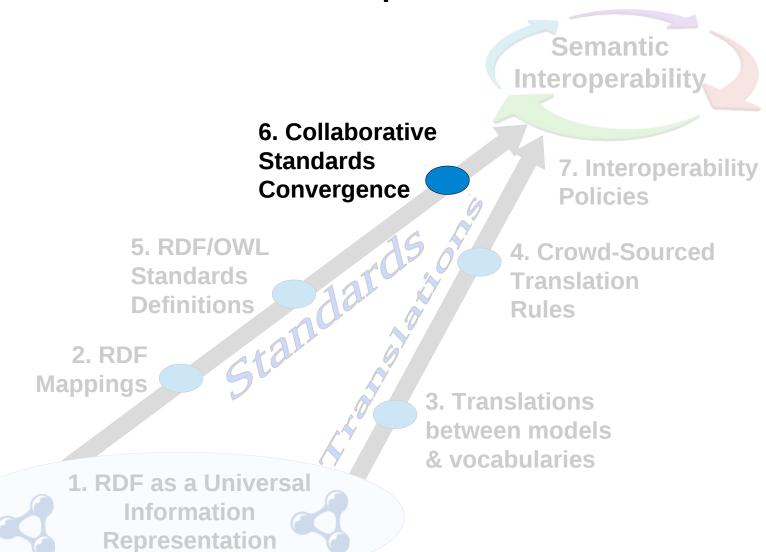










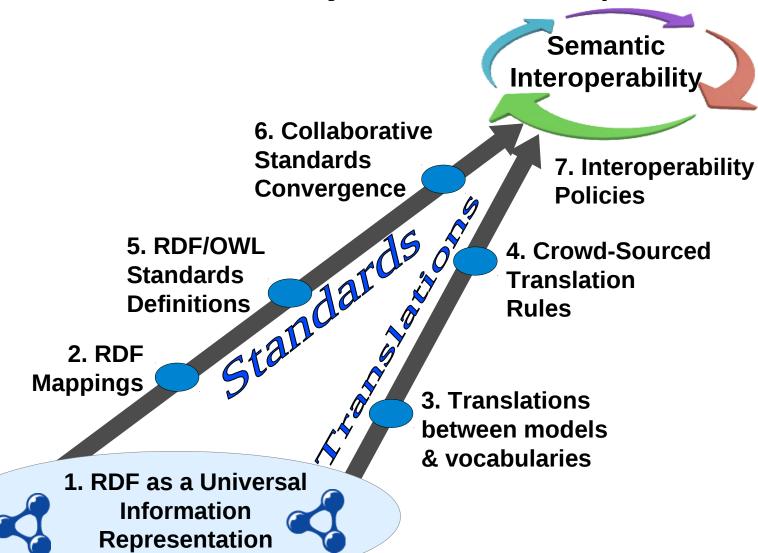


2. RDF

Mappings

Semantic Interoperability 6. Collaborative **Standards** 7. Interoperability Convergence **Policies** 5. RDF/OWL 4. Crowd-Sourced **Standards Translation Definitions** Rules 3. Translations between models & vocabularies 1. RDF as a Universal Information Representation

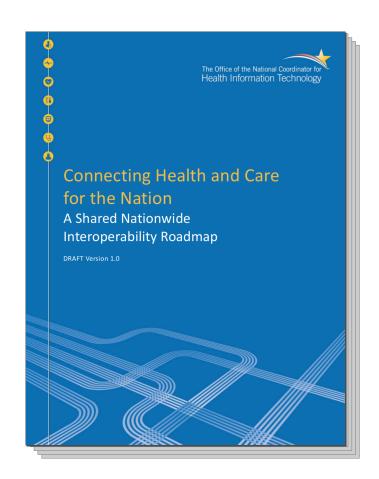
Yosemite Project Roadmap



ONC Roadmap

ONC Interoperability Roadmap Draft v1.0

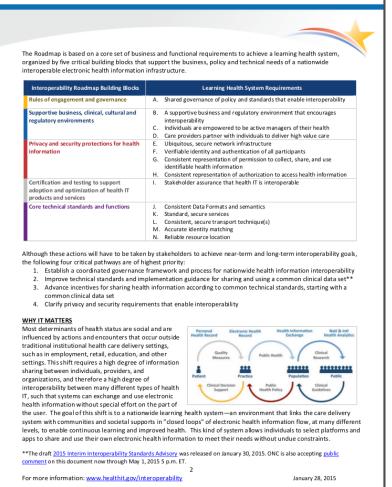
- 166 pages
- Comments due 3-Apr-2015



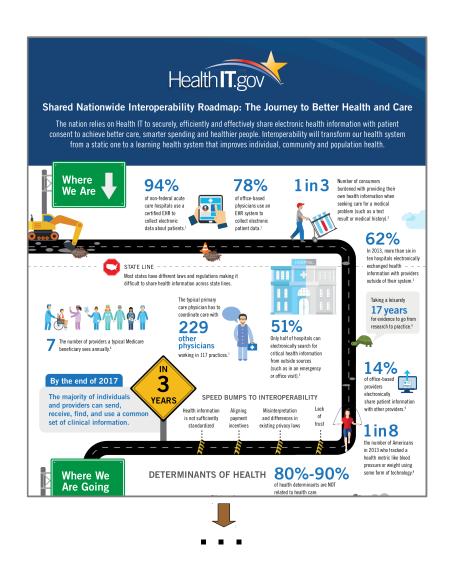
http://www.healthit.gov/policy-researchers-implementers/interoperability

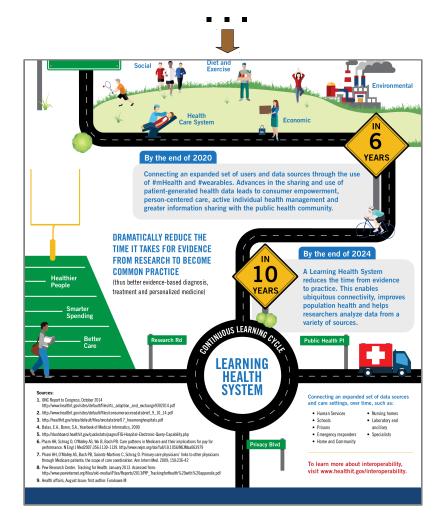
ONC Roadmap Quick Reference





ONC Roadmap Infographic

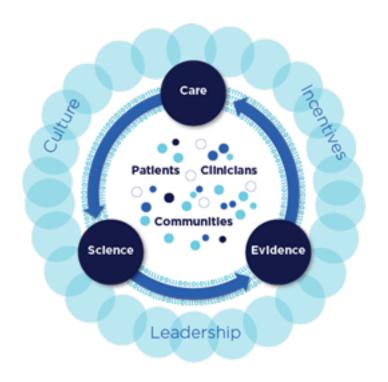




What's in the ONC roadmap?

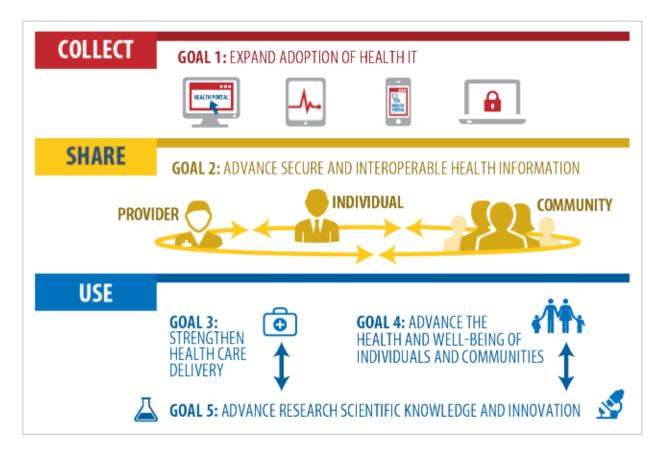
- Health IT vision: "learning health system"
- Interoperability goals: 3, 6, 10 years
- Problem description
 - Components, stakeholders and issues
- Solution guidance, involving:
 - Governance
 - Standards
 - Policies

Institute of Medicine: Learning Health System



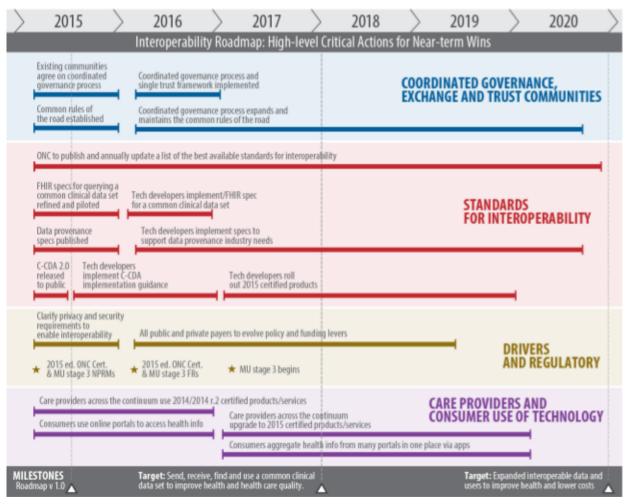
See http://www.iom.edu/Activities/Quality/VSRT.aspx

ONC strategic goals



ONC Roadmap p16

ONC timeline



ONC Roadmap p15

ONC building blocks

Interoperability Roadmap Building Blocks	Learning Health System Requirements
Rules of engagement and governance	A. Shared governance of policy and standards that enable interoperability 10 pages
Supportive business, clinical, cultural and regulatory environments	 B. A supportive business and regulatory environment that encourages interoperability C. Individuals are empowered to be active managers of their health D. Care providers partner with individuals to deliver high value care
Privacy and security protections for health information	 E. Ubiquitous, secure network infrastructure F. Verifiable identity and authentication of all participants G. Consistent representation of permission to collect, share, and use 22 identifiable health information H. Consistent representation of authorization to access health information
Certification and testing to support adoption and optimization of health IT products and services	Stakeholder assurance that health IT is interoperable Pages
Core technical standards and functions	J. Consistent Data Formats and semantics K. Standard, secure services L. Consistent, secure transport technique(s) M. Accurate identity matching N. Reliable resource location

ONC Quick Reference p2

ONC building blocks

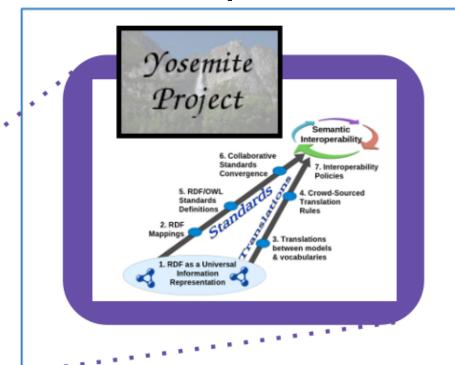
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Core technical standards and functions	J. Consistent Data Formats and semantics A. Structural services L. Consistent, secure transport technique(s) M. Accurate identity matching N. Reliable resource location	es

ONC Quick Reference p2

Comparison of roadmaps



- Addresses all aspects of interoperability
- Goal: Interop of a common subset of healthcare data
- Federally sponsored



- Addresses the technical problem of information interoperability
- Goal: Interop of all structured healthcare information
- Collaborative initiative

Kudos: General

- Undertaking this roadmap!
- Addressing all stakeholders
- Joint public & private governance strategy
- Attention to standards
- Policy incentives
- Removing barriers to interoperability

Suggestion: Clarify "Rules of the Road"

- Not clear what this phrase means
- Policies? Governance process?
 - Policies (incentives & remove barriers)



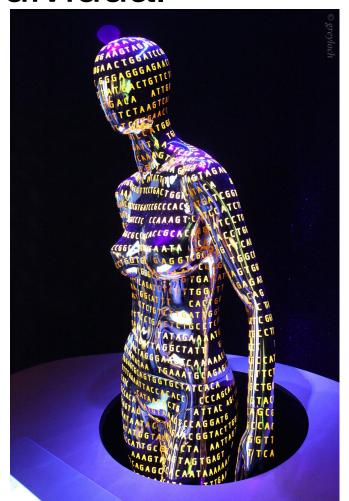
Kudo: Need for interoperability incentives

- Key barrier: "fee-for-service" payment models -- p38
 - "Current policies and financial incentives often prevent . . . exchange, even when it is technically feasible." -- p37
 - "[We] need to migrate policy and funding levers to create the business imperative and clinical demand for interoperability" -- p37
 - "Rules that govern how health and care are paid for must create a context in which interoperability is not just a way to improve care, but is a good business decision." -- p37
- SUGGESTION:
 Stronger incentive policies (carrot/stick)

Kudo:

Empowering the individual

- Increasingly important:
 - Mobile population receiving care from multiple providers
 - Rising costs
 - Patient-generated health data
- SUGGESTION:
 Data must be both human and machine understandable
 - -Encourages innovation



Kudo: Access to Personal Health Information

- "No policy, business, operational, or technical barriers that are not required by law should be built to prevent information from appropriately flowing across geographic, health IT developer and organizational boundaries in support of patient care." -- p31
- SUGGESTION:
 Should apply to all aspects of healthcare (research, quality measurement, etc.)

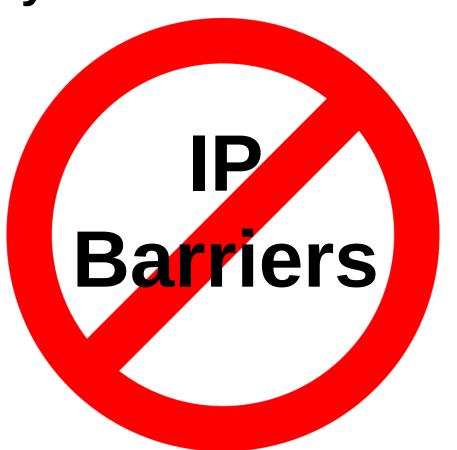
Kudo: Open Exchange

 "There should be neutrality in the exchange of personal health information. [...] For instance, a health IT developer . . . shall not prevent a user from using health information exchange applications developed by competitors"

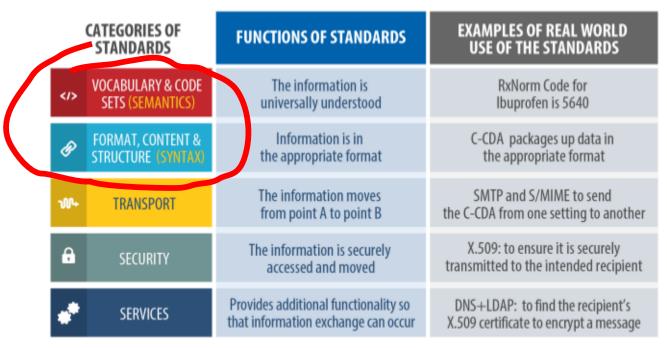
-- p33

Suggestion: Encourage free and open interoperability standards

- No royalties
- No licensing barriers



ONC categories of standards



ONC Roadmap p78

Difference: ONC focus on a "common clinical data set"

- "This Roadmap focuses on decisions, actions and actors required to establish the best minimum level of interoperability across the health IT ecosystem" -- p18
- Forces all users into one box



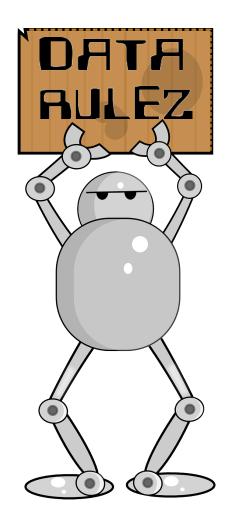
Misconception: How to achieve interoperability

- "[It] is unlikely that a single data format . . . will support all of the needs of a learning health system" -- p82
- That is exactly what RDF does! (except that RDF is not a data format)
 - Universal information representation
 - Reason for the Yosemite Manifesto
 - Yosemite Project roadmap shows how

Suggestion: More focus on data

- ONC roadmap mentions "Interoperability of processes and workflows"
- Data interoperability is far more important

All Things Workstows Applications come and go, but data lives forever



Kudo: RESTful interfaces

- More than just HTTP!
- Uniform interface / API
- Data-centric ("Resource-centric")
- Obviates the need for many specialized protocols



UNIVERSITY OF CALIFORNIA, IRVINE

Architectural Styles and the Design of Network-based Software Architectures

DISSERTATION

submitted in partial satisfaction of the requirements for the degree of

DOCTOR OF PHILOSOPHY

in Information and Computer Science

by

Roy Thomas Fielding

Dissertation Committee: Professor Richard N. Taylor, Chair Professor Mark S. Ackerman Professor David S. Rosenblum

2000

Suggestion: Stable URIs for concepts

- Use of Linked Data principles
- Stable URIs for all concepts



- Every concept URI should link to its authoritative definition
 - Both machine and human oriented
 - Free and open no IP barriers

YosemiteManifesto.org

Suggestions: General

- Support the Yosemite Project
 - RDF as a common semantic layer
- Stronger policies:
 - Incentives for interoperability
 - Free and open standards



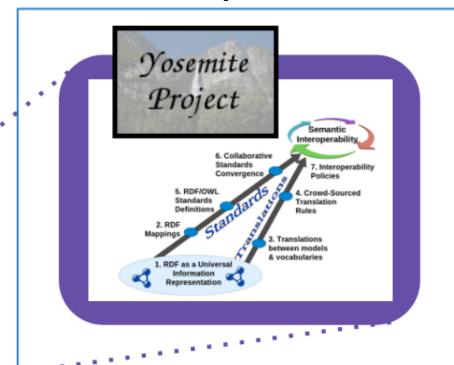
Report Card	
Scope and Vision	A+
Problem Insight	A
Focus	B
Articulation	B+
Feasibility	A-
Execution	9

Questions?

Comparison of roadmaps



- Addresses all aspects of interoperability
- Goal: Interop of a common subset of healthcare data
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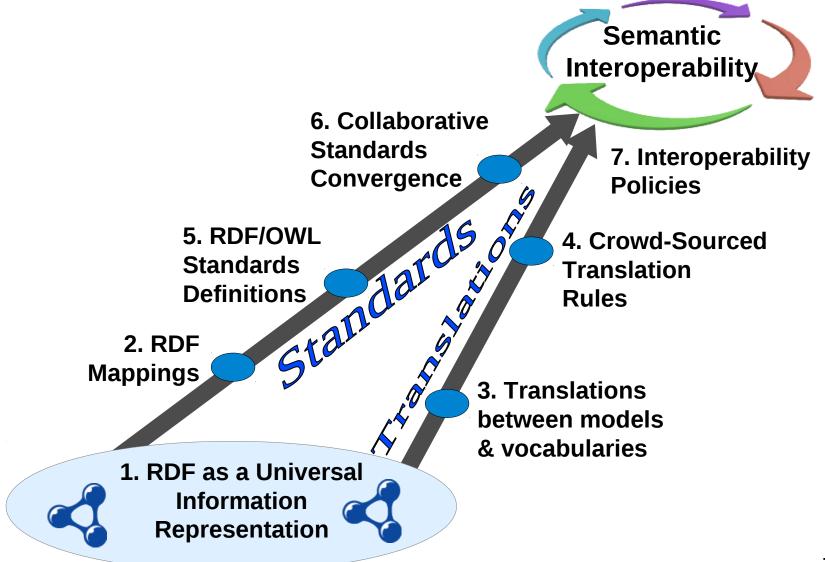


- Addresses the technical problem of information interoperability
- Goal: Interop of all structured healthcare information
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BACKUP SLIDES

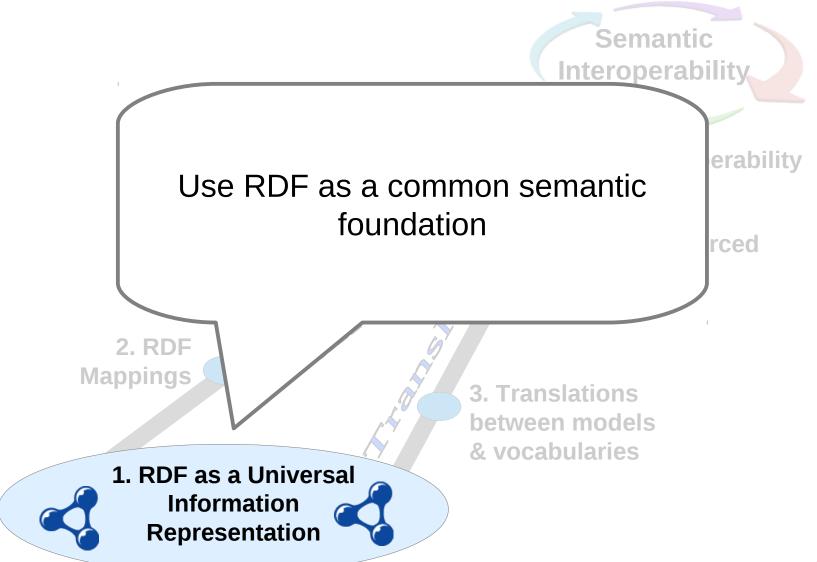
http://YosemiteProject.org/

A Roadmap for Healthcare Information Interoperability



Yosemite Project Roadmap

Semantic **Interoperability** 6. Collaborative **Standards** 7. Interoperability **Policies** 5. RDF/OWL 4. Crowd-Sourced **Standards Translation Definitions** Rules **2. RDF Mappings** 3. Translations between models & vocabularies 1. RDF as a Universal **Information** Representation



For common healthcare information representations*, define an **RDF mapping** to/from each format, data model and vocabulary — "lift" and "drop".

Stano Defin

*Both standard and proprietary

2. RDF Mappings





1. RDF as a Universal Information Representation

bility

Semantic operability Define **translation rules** for instance data that is expressed in . Interoperability **RDF** representations Policies owd-Sourced Translation Standards **Definitions** Rules 2. RDF **Mappings** 3. Translations between models & vocabularies 1. RDF as a Universal Information Representation

Semantic nteroperability Create a hub for **crowd-sourcing** translation rules 7. Interoperability **Policies** 5. RDF/OWL 4. Crowd-Sourced **Standards Translation Definitions** Rules 2. RDF **Mappings** 3. Translations between models & vocabularies 1. RDF as a Universal

Information

Representation

Create **RDF / OWL definitions** of the data models and vocabularies defined by healthcare standards



4. Crowd-Sourced Translation Rules

2. RDF Mappings

3. Translations between models & vocabularies



6. Collaborative Standards Convergence

Semantic Interoperability

7. Interoperability Policies

4. Crowd-Sourced ranslation ules

Create a **collaborative standards hub** for RDF/OWL standards
definitions, to facilitate **standards convergence**

between models & vocabularies

ations



Adopt **policy incentives** for healthcare providers to achieve semantic interoperability.

Semantic Interoperability

7. Interoperability Policies

4. Crowd-Sourced Translation Rules

2. RDF Mappings

Stor

3. Translations between models & vocabularies



1. RDF as a Universal Information Representation

5. RDF/OWL

Standards

Definitions

(a) Adopt free and open interoperability standards.

Semantic Interoperability

7. Interoperability Policies

Why?
Eliminate IP barriers to interoperability.

4. Crowd-Sourced Translation Rules

3. Translations between models & vocabularies



(b) Adopt **policy incentives** for healthcare providers to achieve semantic interoperability.

Semantic Interoperability

7. Interoperability Policies

Why?

A healthcare provider has **no natural business incentive** to make its data interoperable with competitors.

Crowd-Sourced anslation

ations models

& vocabularies



Yosemite Project Roadmap

